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PATENT
Attorney Docket No. 56351.000016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#1

In re Patent Application of :)
Joseph G. GATTO) Group Art Unit: *to be assigned*
Serial No.: 09/775,599) Examiner: *to be assigned*
Filed: February 5, 2001)
For: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND
METHOD)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

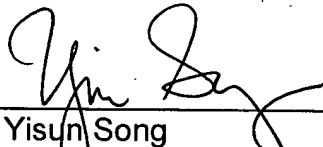
In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant respectfully submit the enclosed documents for the Examiner's consideration. A copy of Form PTO-1449 is also enclosed.

Applicant respectfully request that the Examiner consider the enclosed references and indicate that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

This Information Disclosure Statement is believed to be submitted before the mailing of a first Office Action. Accordingly, no fees are due. However, if any fees are incurred upon the filing of this Information Disclosure Statement, please charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

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**U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**

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NO.:

SERIAL NO.:

56351.000016

09/775,599

INVENTOR'S NAME:
Joseph GATTO

EXAMINER:
to be assigned

FILING DATE:
2/5/01

GROUP:
to be assigned

01/01/2001
LIST OF
MAY 02 2001
PATENT & TRADEMARK OFFICE

LIST OF MATERIALS CITED BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

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P	Financial Analysts Journal, Jan/Feb 1996
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K1	Journal of Accounting Research Vol. 38, No 1 Spring 2000
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EXAMINER		DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		

